

2631

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
15209

In/Re Application **Satoshi NAKAMURA**

#  
3

Serial No.  
107043,729

Filing Date  
Jan. 11, 2002

Examiner  
Unassigned

Group Art Unit  
2631

Title: **OVERSAMPLING CLOCK RECOVERY HAVING A HIGH FOLLOW-UP  
CHARACTER USING A FEW CLOCK SIGNALS**

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

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**37 CFR 1.97(b)**

Technology Center 2600

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Docket No.

15209

In Re Application Of: Satoshi NAKAMURA

Serial No.  
10/043,729Filing Date  
Jan. 11, 2002Examiner  
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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

) on \_\_\_\_\_  
(Date)

Signature

Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on August 5, 2002 with the U.S. Postal Service/as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Michelle Mustafa

Typed or Printed Name of Person Mailing Correspondence

\*This certificate may only be used if paying by deposit account.

Dated: August 5, 2002

Signature

Paul J. Esatto, Jr., Reg. No. 30,749  
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Garden City, NY 11530  
(516) 742-4343

PJE:ahs

CC:



PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Satoshi NAKAMURA      Docket: 15209  
Serial No: 10/043,729      Art Unit: 2631  
Filed: January 11, 2002      Dated: August 5, 2002  
For: **OVERSAMPLING CLOCK RECOVERY  
HAVING A HIGH FOLLOW-UP CHARACTER USING A FEW CLOCK SIGNALS**

Assistant Commissioner for Patents  
United States Patent and Trademark Office  
Washington, D.C. 20231

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INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Japanese Laid-Open Publication No. 9-233061, dated September 5, 1997, together with English-language Abstract;
2. Japanese Laid-Open Publication No. 2001-285266, dated October 12, 2001, together with English-language Abstract;

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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231 on August 5, 2002.

Dated: August 5, 2002

  
Michelle Mustafa

3. Japanese Laid-Open Publication No. 2002-50960, dated February 15, 2002, together with English-language Abstract;
4. United States Patent No. 5,633,899, issued to Fiedler, et al., dated May 27, 1997; and
5. "A 1Gbps Transceiver with Receiver-End Deskewing Capability using Non-Uniform Tracked Oversampling and a 250-750MHz Four-Phase DLL", Symposium on VLSI Circuits Digest of Technical Papers, ppg. 47-48 (1999).

Applicant is submitting copies of the above-cited references. The relevance of the above-identified references has been described in the specification.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,



Paul J. Esatto, Jr.  
Registration No.: 30,749

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PJE:ahs

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Docket Number (Optional)

15209

Application Number

10/043,729

Applicant(s)

Satoshi NAKAMURA

Filing Date

Jan. 11, 2002

Group Art Unit

2631

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,633,899	5/27/97	Fiedler, et al.			

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## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		9-233061	9/5/97	Japan			✓	
		2001-285266	10/12/01	Japan			✓	
		2002-50960	2/15/02	Japan			✓	

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		"A 1Gbps Transceiver with Receiver-End Deskewing Capability using Non-Uniform Tracked Oversampling and a 250-750MHz Four-Phase DLL", Symposium on VLSI Circuits Digest of Technical Papers", ppg. 47-48 (1999)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.